JANUARY 2017 NEWSLETTER

Chairman's Note

Dear licensed North Carolina Auctioneers,

The new year brings a significant change to the Board's support staff, an exercise in potentially adjusting the professional standards by which licensees must comply, and a new license renewal option.

As for the change, please join me in welcoming our new Board Counsel, Ms. Susannah (Susie) Holloway. Ms. Holloway is a veteran Assistant Attorney General with the North Carolina Department of Justice, and she comes with a wealth of experience representing boards and commissions. The Board is excited to begin working with Ms. Holloway. We are also very grateful for the fine service provided over the years by previous Counsel, Mr. G. Neil Yarborough. We wish Mr. Yarborough the very best.

NCALB is in the midst of a scheduled Periodic Review of 21 NCAC 4B. The Board has
made its final determinations of "necessary - with substantive public interest" for each of the current rules. After review and comment by the N.C. Rules Review Commission and a legislative oversight committee, NCALB will then embark on the Re-adoption process for these rules along with considering/implementing potential substantive changes. The timing of each future step is not yet known, but you can expect to see information at the NCALB website and also in emailed newsletters. The process will include a public comment period, and your participation is encouraged, needed, and very much appreciated.

Also new for 2017, NCALB has invested in the design, development, and implementation of Online License Renewals. This will give licensees the option of renewing and paying online in addition to the current method of hard copy renewal by mail.

The Board is pleased to be in a position again this year to fund a series of nine free 4-hour Continuing Education programs throughout North Carolina in eight locations. Please see the item below regarding these programs.

All NCALB approved CE courses whose scheduling NCALB has been advised of are listed on the NCALB website at www.ncalb.org/contEd.cfm. This list includes live CE programs as well as on-going online CE programs. Licensees are aware of the rules regarding CE course attendance and participation standards. As a friendly reminder, though, please see those rules again in this newsletter.

Finally, I want to remind licensees of the resources available to you on the Board website at www.ncalb.org/practice-tips.cfm. There you'll find a Firm Exam study guide and a 2-part video, both focusing on Auctioneering Law and Rules, as well as past newsletters containing practice tips articles and compliance information. Though they cannot provide legal advice, you are also encouraged to call on NCALB staff if you have any general questions.

Sincerely,

Gary Boyd
NCALB Chairman

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**FREE CONTINUING EDUCATION**

The following Continuing Education courses are funded by the North Carolina Auctioneer Licensing Board and are offered at NO CHARGE to licensees. These CE opportunities are planned, prepared, and presented by the sponsor of the courses, Auctioneers Association of North Carolina (AANC).

Tuesday, January 31, 2017 - 12:00 pm - 4:00 pm - Fayetteville
Kiwanis Recreation Center (new location)
352 Devers Street
Fayetteville, NC 28303
Courses: *Humor and the Auctioneer & If I Had Only Known*

Thursday, February 23, 2017 - 9:00 am - 1:00 pm - Jacksonville
Centerview Baptist Church (new location)
1165 Piney Green Rd.
Jacksonville, NC 28546
Courses: *Humor and the Auctioneer & If I Had Only Known*

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Tuesday, February 28, 2017 - 12:30 pm - 4:30 pm - Hickory
La Quinta Inns & Suites
1607 Fairgrove Church Rd.
Conover, NC 28613
Courses: *Humor and the Auctioneer & If I Had Only Known*

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Tuesday, March 14, 2017 - 8:30 pm - 12:30 pm - Winston-Salem
Fairview Moravian Church
6550 Silas Creek Parkway
Winston-Salem, NC 27108
Courses: *Technology Is Here - Get Over It & If I Had Only Known*

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Tuesday, March 28, 2017 - 12:30 pm - 5:00 pm - Kinston
Lenoir Community College
Administration Building Auditorium (Room 125)
231 Hwy 58 South
Kinston, NC 28504
Courses: *Humor and the Auctioneer & If I Had Only Known*

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Wednesday, March 29, 2017 - 8:30 am - 12:30 pm - Williamston
Martin Community College (Room 14)
1161 Kehukee Park Rd
Williamston, NC 27892
Courses: *Humor and the Auctioneer & If I Had Only Known*

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Monday, April 10, 2017 - 8:30 am - 12:30 pm - Cherokee
Fairfield Inn
568 Painttown Rd
Cherokee, NC 28719
Courses: *Humor and the Auctioneer & If I Had Only Known*

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Monday, April 24, 2017 - 8:15 am - 12:15 pm - Durham
Comfort Suites
5219 Page Rd
Durham, NC 27703
Courses: *Humor and the Auctioneer & If I Had Only Known*

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Monday, April 24, 2017 - 1:00 pm - 5:00 pm - Durham
Comfort Suites
5219 Page Rd
Durham, NC 27703
Courses: *TBD*

For additional information, please contact the Auctioneers Association of North Carolina: www.northcarolinaauctioneers.org - (919) 876-0687

There is no charge for these classes, though you must sign up in advance through AANC. You must be on time and stay for the entire CE.

Due to space issues, only licensed auctioneers and designated persons for auction firms will be permitted in the classroom. Be sure to bring your license card with you for number verification.
You must be present at the starting time to be given credit.

WELCOME NEW LICENSEES!
(August 9, 2016 – January 13, 2017)

Auctioneers:
Blake, Barbara Gail – Bluefield, WV
Bobay, Lynn Thomas – Kitty Hawk, NC
Booker, James Allen – Jacksonville, NC
Burton, Ashton Swicegood – Winston-Salem, NC
Goldman, Debra Lee – Ronda, NC
Karbelk, Stephen Michael – Ashburn, VA
Lyles, Daniel Neal – Easley, SC
Pendleton, Karmen Riggs – Vanceboro, NC
Phillips, Ted Swain – Bear Creek, NC
Raymond, Kimberly Griffin – Charlotte, NC
Shuman, Scott – Eaton, CO
Smith, Mike – Red Springs, NC
Smith, Rita S. – Ringold, VA
Osborn, William David – Garden Grove, CA
Turner, Stephen Slate – Trinity, NC
Summs, Sr., William Jannie – Norfolk, VA
Vaughn, James Wesley – Spruce Pine, NC

Apprentice Auctioneer:
Hennings, Larry Joseph – Yadkinville, NC

Auction Firms:
Best Tree Realty and Auctions, LLC – New Bern, NC
Charlotte Premier Estate Auctions, Inc. – Davidson, NC
Detweiler Auction & Realty, Inc. – Ruffin, NC
Grandeur Luxury Auctions, Inc. – Charlotte, NC
Triangle Auction Group, LLC – Princeton, NC
W & W Auction Company – Roaring River, NC

2017/2018 LICENSE RENEWAL

The new fiscal year starts on July 1, 2017 and ends on June 30, 2018. Evidence of 4 hours of approved continuing education (CE) must be received in the NCALB office by May 15, 2017. NCALB approved CE sponsors report participants’ CE hours to NCALB
themselves. You may also fax (919-567-2865) or email (info@ncalb.org) your CE
certificates to NCALB. If you have carry over hours from last year, they will count toward
the current requirement. All NCALB approved CE courses whose scheduling NCALB has
been advised of are listed on the NCALB website at www.ncalb.org/contEd.cfm. This list
includes on-going online CE programs.

By May 30, 2017, renewal applications will be mailed to those who have satisfied their CE
requirements. PLEASE write legibly so that the correct information is documented for you.

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**CE ATTENDANCE/PARTICIPATION STANDARDS REMINDER**

**21 NCAC 4B .0816 MONITORING ATTENDANCE**

(a) Sponsors and instructors must strictly monitor attendance for the duration of each
class session to assure that all students reported as satisfactorily completing a course
according to these Rules have attended at least 90 percent of the scheduled classroom
hours. Students shall not be admitted to a class session after 10 percent of the scheduled
classroom hours have been conducted. Students shall not be allowed to sign a course
completion card, shall not be issued a course completion certificate, and shall not be
reported to the Board as having completed a course unless the student fully satisfies the
attendance requirement. Sponsors and instructors may not make any exceptions to the
attendance requirement for any reason.

(b) Sponsors must assure that adequate personnel, in addition to the instructor, are
present during all class sessions to assist the instructor in monitoring attendance and
performing the necessary administrative tasks associated with conducting a course.

**21 NCAC 4B .0817 STUDENT PARTICIPATION STANDARDS**

(a) In addition to requiring student compliance with the attendance requirement, sponsors
and instructors shall require that students comply with the following student participation
standards:

1. A student shall direct his attention to the instruction being provided and refrain from
   engaging in activities unrelated to the instruction.
2. A student shall refrain from engaging in any activities which are distracting to other
   students or the instructor, or which otherwise disrupt the orderly conduct of a class.
3. A student shall comply with all instructions provided by the sponsor or instructor
   related to providing information needed to properly report completion of a course by the
   student.

(b) Instructors and sponsors may dismiss from a class session any student who fails to
comply with the student participation standards prescribed in Paragraph (a) of this Rule.

(c) Sponsors shall not issue a course completion certificate to any student who fails to
comply with the student participation standards set forth in Paragraph (a) of this Rule, nor
shall sponsors include the name of such student on their reports verifying completion of a
continuing education course. Sponsors shall submit to the Board with their reports for the
class session a written statement which includes the name and license number of the
student for whom the sponsor does not report course credit, details concerning the
student's failure to comply with the student participation standards, and names of other
persons in attendance at the class who witnessed the student's conduct.

The complete rules can be viewed on the Board's website at:

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2017 NCALB MEETING DATES

The NC Auctioneer Licensing Board meets monthly at the Board's office at 108 Ber Creek
Drive, Fuquay-Varina, NC 27526. Licensees and the general public are encouraged to
attend. With the exception of the April meeting, the remaining 2017 Regular meetings are
scheduled to be held on the 2nd Monday of each month at 10 am.

UPCOMING MEETINGS

February 13, 2017 10 am
March 13, 2017 10 am
April 11, 2017 10 am
May 8, 2017 10 am
June 12, 2017 10 am
July 10, 2017 10 am
August 14, 2017 10 am
September 11, 2017 10 am
October 9, 2017 10 am
November 13, 2017 10 am
December 11, 2017 10 am